

**Table 1. Compliance Assessment Guideline for Individual Consents**

Site Compliance Grade	Examples
Comply - Full	Complying with all conditions of consent;
Comply – At Risk	At Risk grading identified against key condition(s) of one or more of consents for the site.
Low Risk Non-Compliance	Compliance with most of the relevant consent conditions. Non-compliance carries a low risk of adverse environmental effects or is technical in nature (e.g. failure to submit a monitoring report).
Moderate Non-Compliance	Non-compliance with one or more of the relevant consent conditions, where there are some environmental consequences and/or there is a moderate risk of adverse environmental effects.
Significant Non-Compliance	Non-compliance with one or more of the relevant consent conditions, where there are significant environmental consequences and/or a high risk of adverse environmental effects.
Not assessed	Monitoring has not been undertaken of this consent during the reporting period.

**Table 2. Compliance Assessment Guideline for Individual Conditions**

Condition Compliance Grade	Examples (not exhaustive)
Comply - Full	Conditions of consent are fully complied with.
Comply – At Risk	Compliant at time of inspection but management / system deficiencies indicate there is a real risk of a non-compliance occurring (e.g. insufficient effluent storage, poor irrigator performance). Sampling out of sequence or late due to circumstances outside of consent holders control (e.g. flow related sampling).
Low Risk Non-Compliance	One-off failure to comply with a condition of consent (e.g. One off minor exceedance in key parameter in sampling). Intent of condition met however data and / or report provided late. First up failure to provide management plan or environmental information (e.g. water quality information) within required timeframes.
Moderate Non - Compliance	Four minor exceedances of key parameters for one year’s worth of sampling / data. Repeat failure to provide a report or monitoring data. Repeat Failure to undertaken sampling. Failure to install water meter. Cow numbers being exceeded for dairy shed effluent consent.
Significant Non-Compliance	Water quality results indicate there is a potential for or an actual effect which is more than minor that is not authorised by the resource consent. Unauthorised discharge of wastewater / effluent into water or onto land where it may enter water, excessive ponding of effluent on the land surface. Repeated failure to provide a report/monitoring data/ management plans/install water metering equipment etc. Repeated failure to undertake sampling. Repeated failure to comply with authorised discharge or water take volumes.
Not Applicable	Applies to conditions that are no longer applicable. Generally relates to historic conditions that may require provision of a management plan, which has been provided and consent requires no further action.
Not Assessed	Monitoring not undertaken of consent condition.